DISCLOSURE DOCUMENT

Disclosure

This Disclosure Document has been prepared in accordance with the provisions of the Securities and Exchange Board of India (Investment Advisers) Regulations, 2013, including amendments, circulars, and guidelines issued by SEBI from time to time.

The purpose of this document is to provide material information about Finideas Investment Advisor Private Limited ("FIAPL" or "Investment Adviser") and the investment advisory services offered by it, in order to enable prospective clients to make an informed decision before availing such services.

Business Activity

Finideas Investment Advisor Private Limited is registered with the Securities and Exchange Board of India ("SEBI") as an Investment Adviser under SEBI Registration No. INA000018045.

FIAPL is engaged in providing non-binding investment advisory services, including research, financial planning, risk assessment, portfolio-related guidance, and investment recommendations relating to securities and investment products, subject to applicable laws and SEBI regulations.

The Investment Adviser acts in a fiduciary capacity towards its clients and endeavors to provide advice that is suitable and appropriate based on the client's risk profile, financial situation, investment objectives, and investment experience.

Terms and Conditions

1. Investment advisory services shall be rendered only after completion of client onboarding, KYC requirements, risk profiling, and execution of the client agreement, wherever applicable.
2. The investment advice provided by FIAPL is based on the information furnished by the client and the risk profiling conducted by the Investment Adviser.
3. Investment advice is non-binding in nature, and the client retains sole discretion to accept, reject, or act upon the advice provided.
4. FIAPL does not guarantee any assured returns, profit, capital appreciation, or protection against losses in any form.
5. Investments in securities markets are subject to market risks, and past performance does not guarantee future results.
6. Clients are advised to independently evaluate all investment decisions and seek independent legal, tax, or financial advice wherever necessary.
7. Fees charged towards investment advisory services shall be in accordance with applicable SEBI regulations and mutually agreed terms.

8. FIAPL shall maintain confidentiality of client information and shall not disclose such information except as required under applicable law or regulatory requirements.
9. FIAPL maintains an arm’s-length relationship between its advisory activities and any other permissible activities, in accordance with SEBI regulations.

Disciplinary History

1. No penalties or directions have been issued by SEBI under the SEBI Act, 1992 or regulations made thereunder against FIAPL relating to investment advisory activities/services.
2. There are no pending material litigations, regulatory proceedings, inspections, or investigations initiated by SEBI or any other regulatory authority against FIAPL which may materially affect its investment advisory business.

Disclosures to Clients

1. FIAPL may provide investment advice only after assessing the client’s risk profile and suitability requirements as prescribed under SEBI (Investment Advisers) Regulations, 2013.
2. FIAPL shall disclose any actual or potential conflict of interest that may arise while rendering investment advisory services.
3. FIAPL shall disclose any holding or position in securities, if applicable, which are the subject matter of investment advice.
4. Clients are under no obligation to avail execution or distribution services from FIAPL or its associates, if any.
5. FIAPL does not receive any consideration, commission, or compensation from any product issuer or intermediary for providing investment advice unless specifically disclosed and permitted under applicable regulations.
6. The client understands that all investment decisions shall be taken at their own discretion and risk.

Disclaimer

1. Investments in securities market are subject to market risks. Read all related documents carefully before investing.
2. Registration granted by SEBI, certification from NISM, or any approval by regulatory authority does not guarantee the performance of the Investment Adviser or assure returns to investors.
3. FIAPL does not provide any guaranteed returns, fixed income assurances, or profit-sharing arrangements.
4. Investment advisory services are subject to suitability assessment and risk profiling of the client as mandated under SEBI regulations.

5. The value of investments may fluctuate depending on market conditions, economic factors, interest rates, political developments, and other external factors.
6. Past performance of securities, investment products, strategies, or recommendations does not indicate future performance.
7. Any research, market commentary, model portfolio, or recommendation provided by FIAPL is intended solely for informational purposes and should not be construed as an offer, solicitation, or assurance of returns.
8. Information contained in reports, presentations, or communications is based on sources believed to be reliable; however, FIAPL does not guarantee the accuracy, adequacy, completeness, or timeliness of such information.
9. FIAPL, its directors, employees, associates, or representatives shall not be liable for any direct, indirect, incidental, or consequential loss arising from the use of investment advice or reliance on any information provided.
10. Clients are advised to carefully consider their financial condition, investment objectives, and risk appetite before making any investment decision.
11. Any illustrations, charts, examples, projections, or performance figures shared are for illustrative purposes only.
12. Unauthorized circulation, distribution, copying, or reproduction of any advisory material, reports, or recommendations is strictly prohibited.
13. In case of any grievance or complaint, clients may contact:

Compliance Officer: Mr. Yogesh Darji
Email: yogesh.darji@finideas.com
Website: https://www.finideas.com

Clients may also lodge complaints through the SEBI SCORES platform.